

General Assembly

Raised Bill No.

414

February Session, 2006

LCO No. 2178



Referred to Committee on
ENVIRONMENT

Introduced by: (ENV)

AN ACT CONCERNING DAM SAFETY.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. (NEW) (Effective October 1, 2006) (a) As used in chapter 446j of the general statutes:
- 446j of the general statutes:
 (1) "Emergency operation plan" means a written plan, approved by
- the Commissioner of Environmental Protection, that identifies
 procedures necessary to minimize any threat to life and any damage to
 property potentially caused by the failure of any dam, dike, reservoir
- 7 or other similar structure, along with any appurtenances thereto,
- 8 which plan includes, but is not limited to, a map identifying
- 9 inundation areas and identifying any structures potentially impacted
- 10 by dam failure, written monitoring procedures to assess the condition
- 11 of the dam during an emergency and warning and evacuation
- 12 protocols to assist local emergency management personnel during an
- 13 emergency;
- 14 (2) "High hazard dam" means a dam, dike, reservoir or other similar 15 structure, along with any appurtenances thereto, that the
- 16 commissioner has determined to be a Class C dam and that if such

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dam should fail, such failure would result in any of the following: (A) Probable loss of life, (B) major damage to a habitable structure, residence, hospital, convalescent home, school or similar property, (C) damage to a main highway, or (D) significant economic loss;

- (3) "Routine maintenance" means any work performed on a dam, dike, reservoir or other similar structure, along with any appurtenances thereto, that is undertaken on a periodic basis to ensure continued safe operation and physical integrity of such structure, including, but not limited to, rodent removal, vegetation control, floating debris removal, lubrication, painting, minor embankment regrading, minor riprap or erosion repair, minor masonry or concrete restoration, brush or tree cutting or removal of sediment from spillway structures or discharge channels; and
- (4) "Significant hazard dam" means any dam, dike, reservoir or other similar structure, along with any appurtenances thereto, that the commissioner has determined to be a Class B dam that if such dam should fail that such failure would result in any of the following: (A) Possible loss of life, (B) minor damage to a habitable structure, residence, hospital, convalescent home, school or similar property, (C) damage to or the interruption of the use of service utilities, (D) damage to primary roads and railroads, or (E) significant economic loss.
- Sec. 2. Section 22a-403 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):
 - (a) Before any person constructs, alters, rebuilds, substantially repairs, adds to, replaces or removes any such structure, such person shall apply to the commissioner for a permit to undertake such work. The application for such permit shall be in triplicate, the original of which, with necessary drawings, plans, specifications and other data, shall be submitted to the commissioner, in the form and to the extent required by him. If the commissioner finds that an application is complete, [he] the commissioner shall (1) notify the applicant by certified mail, return receipt requested, of [his] the commissioner's

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intent to grant a permit with or without terms and conditions or to deny a permit for such work, and (2) publish notice of such intention in a newspaper having a general circulation in the area in which the proposed work will take place or have effect. The commissioner shall mail notice of such intent to the chief executive officer, the inland wetland agency, and the planning, zoning and conservation commissions of each town in which the work will take place or have effect. The commissioner may hold a hearing prior to approving or denying any application if, in [his] the commissioner's discretion, the public interest will be best served thereby, and [he] the commissioner shall hold a hearing if, within thirty days after such notice has been published, [he] the commissioner receives a petition requesting such a hearing signed by at least twenty-five persons. Notice of such hearing shall be published at least thirty days before the hearing in a newspaper having a general circulation in the area in which the work will take place or have effect.

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(b) The commissioner or [his] the commissioner's representative, engineer or consultant shall determine the impact of the construction work on the environment, on the safety of persons and property and on the inland wetlands and watercourses of the state in accordance with the provisions of sections 22a-36 to 22a-45, inclusive, and shall further determine the need for a fishway in accordance with the provisions of section 26-136, and shall examine the documents and inspect the site, and, upon approval thereof, the commissioner shall issue a permit authorizing the proposed construction work under such conditions as the commissioner may direct. No permit shall be required for routine maintenance. The commissioner shall send a copy of the permit to the town clerk in any municipality in which the structure is located or any municipality which will be affected by the structure. An applicant for a permit issued under this section to alter, rebuild, repair or remove an existing dam shall not be required to obtain a permit under sections 22a-36 to 22a-45a, inclusive, or section 22a-342 or 22a-368. An applicant for a permit issued under this section to construct a new dam shall not be required to obtain a permit under

LCO No. 2178 3 of 10 sections 22a-36 to 22a-45a, inclusive, for such construction.

(c) Notwithstanding the provisions of subsections (a) and (b) of this section, the commissioner or the commissioner's representative may construct, alter, rebuild, substantially repair, add to, replace or remove any dam, dike, reservoir or other similar structure, along with any appurtenances thereto, that are owned by the state and that are under the commissioner's control, without issuance of a permit pursuant to this chapter, and without a permit, certification or approval pursuant to part I of chapter 439, or chapters 440, 444, 446i and 476a, provided such action is consistent with the policies contained in part I of chapter 439 and chapters 440, 444, 446i and 476a. Nothing in this subsection shall preclude an action under section 22a-16.

Sec. 3. Subsection (a) of section 22a-409 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):

- (a) The commissioner shall cause a survey and maps to be made of each town showing the location of any dams or similar structures within such town, and shall file a copy of such map with the town clerk. After December 31, 2007, if an owner of real property where a high hazard dam or a significant hazard dam is located transfers title of the property to another person or entity, the transferring property owner shall cause to be recorded on the land records in the municipality where the property is located a document that identifies the existence of the dam and whether the dam is categorized as a high hazard dam or a significant hazard dam. The commissioner may publish a standardized form to be used for such purposes.
- Sec. 4. Subsection (a) of section 22a-411 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective* 111 October 1, 2006):
- 112 (a) The commissioner may issue a general permit for any minor 113 activity regulated under sections 22a-401 to 22a-410, inclusive, except

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115 determines that such activity would cause minimal environmental 116 effects when conducted separately and would cause only minimal 117 cumulative environmental effects. Such activities may include [routine 118 maintenance and] routine repair of any dam, dike, reservoir or other 119 similar structure. [and the construction if any such structure presents 120 low or negligible safety hazards.] Any person conducting an activity 121 for which a general permit has been issued shall not be required to 122 obtain an individual permit under sections 22a-36 to 22a-45a, inclusive, 123 or section 22a-342, 22a-368 or 22a-403, except as provided in subsection 124 (c) of this section. A general permit shall clearly define the activity 125 covered thereby and may include such conditions and requirements as 126 the commissioner deems appropriate, including but not limited to, 127 management practices and verification and reporting requirements. 128 The general permit may require any person conducting any activity 129 under the general permit to report, on a form prescribed by the 130 commissioner, such activity to the commissioner before it shall be 131 covered by the general permit. The commissioner shall prepare, and 132 shall annually amend, a list of holders of general permits under this

for any activity covered by an individual permit, if the commissioner

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Sec. 5. Section 22a-40 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):

section, which list shall be made available to the public.

- (a) The following operations and uses shall be permitted in wetlands and watercourses, as of right:
- (1) Grazing, farming, nurseries, gardening and harvesting of crops and farm ponds of three acres or less essential to the farming operation, and activities conducted by, or under the authority of, the Department of Environmental Protection for the purposes of wetland or watercourse restoration or enhancement or mosquito control. The provisions of this subdivision shall not be construed to include road construction or the erection of buildings not directly related to the farming operation, relocation of watercourses with continual flow,

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filling or reclamation of wetlands or watercourses with continual flow, clear cutting of timber except for the expansion of agricultural crop land, the mining of top soil, peat, sand, gravel or similar material from wetlands or watercourses for the purposes of sale;

- (2) A residential home (i) for which a building permit has been issued or (ii) on a subdivision lot, provided the permit has been issued or the subdivision has been approved by a municipal planning, zoning or planning and zoning commission as of the effective date of promulgation of the municipal regulations pursuant to subsection (b) of section 22a-42a or as of July 1, 1974, whichever is earlier, and further provided no residential home shall be permitted as of right pursuant to this subdivision unless the permit was obtained on or before July 1, 1987;
 - (3) Boat anchorage or mooring;

- (4) Uses incidental to the enjoyment and maintenance of residential property, such property defined as equal to or smaller than the largest minimum residential lot site permitted anywhere in the municipality, provided in any town, where there are no zoning regulations establishing minimum residential lot sites, the largest minimum lot site shall be two acres. Such incidental uses shall include maintenance of existing structures and landscaping but shall not include removal or deposition of significant amounts of material from or onto a wetland or watercourse or diversion or alteration of a watercourse;
- (5) Construction and operation, by water companies as defined in section 16-1, as amended, or by municipal water supply systems as provided for in chapter 102, of dams, reservoirs and other facilities necessary to the impounding, storage and withdrawal of water in connection with public water supplies except as provided in sections 22a-401 and 22a-403; and
- 175 (6) Maintenance relating to any drainage pipe which existed before 176 the effective date of any municipal regulations adopted pursuant to

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177 section 22a-42a or July 1, 1974, whichever is earlier, provided such pipe 178 is on property which is zoned as residential but which does not 179 contain hydrophytic vegetation. For purposes of this subdivision, 180 "maintenance" means the removal of accumulated leaves, soil, and 181 other debris whether by hand or machine, while the pipe remains in 182 place.

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- (b) The following operations and uses shall be permitted, as nonregulated uses in wetlands and watercourses, provided they do not disturb the natural and indigenous character of the wetland or watercourse by removal or deposition of material, alteration or obstruction of water flow or pollution of the wetland or watercourse:
- (1) Conservation of soil, vegetation, water, fish, shellfish and wildlife: and
- (2) Outdoor recreation including play and sporting areas, golf courses, field trials, nature study, hiking, horseback riding, swimming, skin diving, camping, boating, water skiing, trapping, hunting, fishing and shellfishing where otherwise legally permitted and regulated.
- (c) Any dredging or any erection, placement, retention or maintenance of any structure, fill, obstruction or encroachment, or any work incidental to such activities, conducted by a state agency, which activity is regulated under sections 22a-28 to 22a-35, inclusive, or sections 22a-359b to 22a-363f, inclusive, shall not require any permit or approval under sections 22a-36 to 22a-45, inclusive.
- (d) Routine maintenance performed on a dam, dike, reservoir or other similar structure, along with any appurtenances thereto, that, in the judgment of the commissioner would, by breaking away, cause loss of life or significant property damage shall not require a permit or approval under sections 22a-36 to 22a-45, inclusive, when such routine maintenance is performed under the written authority of the Department of Environmental Protection.

LCO No. 2178 7 of 10 Sec. 6. Subsection (d) of section 20-327b of the general statutes is repealed and the following is substituted in lieu thereof (Effective 209 October 1, 2006):

- (d) (1) The Commissioner of Consumer Protection, shall, by regulations adopted in accordance with the provisions of chapter 54, prescribe the form of the written residential disclosure report required by this section and sections 20-327c to 20-327e, inclusive. The regulations shall provide that the form include information concerning municipal assessments, including, but not limited to, sewer or water charges applicable to the property. Such information shall include: (i) Whether such assessment is in effect and the amount of the assessment; (ii) whether there is an assessment on the property that has not been paid, and if so, the amount of the unpaid assessment; and (iii) to the extent of the seller's knowledge, whether there is reason to believe that the municipality may impose an assessment in the future.
- (2) Such form of the written residential disclosure report shall contain the following:
- (A) A certification by the seller in the following form:

"To the extent of the seller's knowledge as a property owner, the seller acknowledges that the information contained above is true and accurate for those areas of the property listed. In the event a real estate broker or salesperson is utilized, the seller authorizes the brokers or salespersons to provide the above information to prospective buyers, selling agents or buyers' agents.

(Date)	(Seller)
(Date)	(Seller)"

(B) A certification by the buyer in the following form:

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"The buyer is urged to carefully inspect the property and, if desired, to have the property inspected by an expert. The buyer understands that there are areas of the property for which the seller has no knowledge and that this disclosure statement does not encompass those areas. The buyer also acknowledges that the buyer has read and received a signed copy of this statement from the seller or seller's agent.

(Seller)	(Date)
(Seller)"	(Date)

- 239 (C) A statement concerning the responsibility of real estate brokers 240 in the following form:
 - "This report in no way relieves a real estate broker of the broker's obligation under the provisions of section 20-328-5a of the Regulations of Connecticut State Agencies to disclose any material facts. Failure to do so could result in punitive action taken against the broker, such as fines, suspension or revocation of license."
 - (D) A statement that any representations made by the seller on the written residential disclosure report shall not constitute a warranty to the buyer.
 - (E) A statement that the written residential disclosure report is not a substitute for inspections, tests and other methods of determining the physical condition of property.
 - (F) Information concerning environmental matters such as lead, radon, subsurface sewage disposal, flood hazards, the location and condition of any dam, dike or similar structure, the disclosure of any responsibility for maintenance and repair of a dam, the disclosure of any order or pending request of the Commissioner of Environmental Protection concerning dam repair and such other topics as the

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Commissioner of Consumer Protection may determine would be ofinterest to a buyer.

(G) A statement that information concerning the residence address of a person convicted of a crime may be available from law enforcement agencies or the Department of Public Safety and that the Department of Public Safety maintains a site on the Internet listing information about the residence address of persons required to register under section 54-251, as amended, 54-252, 54-253 or 54-254, who have so registered.

This act shall take effect as follows and shall amend the following sections:			
Section 1	October 1, 2006	New section	
Sec. 2	October 1, 2006	22a-403	
Sec. 3	October 1, 2006	22a-409(a)	
Sec. 4	October 1, 2006	22a-411(a)	
Sec. 5	October 1, 2006	22a-40	
Sec. 6	October 1, 2006	20-327b(d)	

Statement of Purpose:

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To improve the state's dam safety program.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

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Senate CUNNECTICUT STATE LIBRARY LEGISLATIVE REFERENCE SECTION

General Assembly

File No. 144

February Session, 2006

Substitute Senate Bill No. 414

Senate, March 28, 2006

The Committee on Environment reported through SEN. FINCH of the 22nd Dist., Chairperson of the Committee on the part of the Senate, that the substitute bill ought to pass.

AN ACT CONCERNING DAM SAFETY.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. (NEW) (*Effective October 1, 2006*) As used in chapter 446j of the general statutes:
- 3 (1) "Emergency operation plan" means a written plan, approved by
- 4 the Commissioner of Environmental Protection, that identifies
- 5 procedures necessary to minimize any threat to life and any damage to
- 6 property potentially caused by the failure of any dam, dike, reservoir
- 7 or other similar structure, along with any appurtenances thereto,
- 8 which plan includes, but is not limited to, a map identifying
- 9 inundation areas and identifying any structures potentially impacted
- 10 by dam failure, written monitoring procedures to assess the condition
- 11 of the dam during an emergency and warning and evacuation
- 12 protocols to assist local emergency management personnel during an
- 13 emergency;
- 14 (2) "High hazard dam" means a dam, dike, reservoir or other similar

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structure, along with any appurtenances thereto, that the commissioner has determined to be a Class C dam and that if such dam should fail, such failure would result in any of the following: (A) Probable loss of life, (B) major damage to a habitable structure, residence, hospital, convalescent home, school or similar property, (C) damage to a main highway, or (D) significant economic loss;

- (3) "Routine maintenance" means any work performed on a dam, dike, reservoir or other similar structure, along with any appurtenances thereto, that is undertaken on a periodic basis to ensure continued safe operation and physical integrity of such structure, including, but not limited to, rodent removal, vegetation control, floating debris removal, lubrication, painting, minor embankment regrading, minor riprap or erosion repair, minor masonry or concrete restoration, brush or tree cutting or removal of sediment from spillway structures or discharge channels; and
- (4) "Significant hazard dam" means any dam, dike, reservoir or other similar structure, along with any appurtenances thereto, that the commissioner has determined to be a Class B dam that if such dam should fail that such failure would result in any of the following: (A) Possible loss of life, (B) minor damage to a habitable structure, residence, hospital, convalescent home, school or similar property, (C) damage to or the interruption of the use of service utilities, (D) damage to primary roads and railroads, or (E) significant economic loss.
- Sec. 2. Section 22a-403 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):
 - (a) Before any person constructs, alters, rebuilds, substantially repairs, adds to, replaces or removes any such structure, such person shall apply to the commissioner for a permit to undertake such work. The application for such permit shall be in triplicate, the original of which, with necessary drawings, plans, specifications and other data, shall be submitted to the commissioner, in the form and to the extent required by him. If the commissioner finds that an application is complete, [he] the commissioner shall (1) notify the applicant by

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certified mail, return receipt requested, of [his] the commissioner's intent to grant a permit with or without terms and conditions or to deny a permit for such work, and (2) publish notice of such intention in a newspaper having a general circulation in the area in which the proposed work will take place or have effect. The commissioner shall mail notice of such intent to the chief executive officer, the inland wetland agency, and the planning, zoning and conservation commissions of each town in which the work will take place or have effect. The commissioner may hold a hearing prior to approving or denying any application if, in [his] the commissioner's discretion, the public interest will be best served thereby, and [he] the commissioner shall hold a hearing if, within thirty days after such notice has been published, [he] the commissioner receives a petition requesting such a hearing signed by at least twenty-five persons. Notice of such hearing shall be published at least thirty days before the hearing in a newspaper having a general circulation in the area in which the work will take place or have effect.

(b) The commissioner or [his] the commissioner's representative, engineer or consultant shall determine the impact of the construction work on the environment, on the safety of persons and property and on the inland wetlands and watercourses of the state in accordance with the provisions of sections 22a-36 to 22a-45, inclusive, and shall further determine the need for a fishway in accordance with the provisions of section 26-136, and shall examine the documents and inspect the site, and, upon approval thereof, the commissioner shall issue a permit authorizing the proposed construction work under such conditions as the commissioner may direct. No permit shall be required for routine maintenance. The commissioner shall send a copy of the permit to the town clerk in any municipality in which the structure is located or any municipality which will be affected by the structure. An applicant for a permit issued under this section to alter, rebuild, repair or remove an existing dam shall not be required to obtain a permit under sections 22a-36 to 22a-45a, inclusive, or section 22a-342 or 22a-368. An applicant for a permit issued under this section to construct a new dam shall not be required to obtain a permit under

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83 sections 22a-36 to 22a-45a, inclusive, for such construction.

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(c) Notwithstanding the provisions of subsections (a) and (b) of this section, the commissioner or the commissioner's representative may construct, alter, rebuild, substantially repair, add to, replace or remove any dam, dike, reservoir or other similar structure, along with any appurtenances thereto, that are owned by the state and that are under the commissioner's control, without issuance of a permit pursuant to this chapter, and without a permit, certification or approval pursuant to part I of chapter 439, or chapters 440, 444, 446i, 476a and 490, provided such action is consistent with the policies contained in part I of chapter 439 and chapters 440, 444, 446i, 476a and 490. Nothing in this subsection shall preclude an action under section 22a-16.

- 95 Sec. 3. Subsection (a) of section 22a-409 of the general statutes is 96 repealed and the following is substituted in lieu thereof (*Effective-*97 October 1, 2006):
- 98 (a) The commissioner shall cause a survey and maps to be made of each town showing the location of any dams or similar structures 99 100 within such town, and shall file a copy of such map with the town 101 clerk. After December 31, 2007, if an owner of real property where a 102 high hazard dam or a significant hazard dam is located transfers title 103 of the property to another person or entity, the transferring property owner shall cause to be recorded on the land records in the 104 105 municipality where the property is located a document that identifies the existence of the dam and whether the dam is categorized as a high 106 107 hazard dam or a significant hazard dam. The commissioner shall 108 publish a standardized form to be used for such purposes.
- Sec. 4. Subsection (a) of section 22a-411 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective* 111 October 1, 2006):
- 112 (a) The commissioner may issue a general permit for any minor 113 activity regulated under sections 22a-401 to 22a-410, inclusive, except 114 for any activity covered by an individual permit, if the commissioner

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determines that such activity would cause minimal environmental effects when conducted separately and would cause only minimal cumulative environmental effects. Such activities may include [routine maintenance and routine repair of any dam, dike, reservoir or other similar structure. [and the construction if any such structure presents low or negligible safety hazards.] Any person conducting an activity for which a general permit has been issued shall not be required to obtain an individual permit under sections 22a-36 to 22a-45a, inclusive, or section 22a-342, 22a-368 or 22a-403, except as provided in subsection (c) of this section. A general permit shall clearly define the activity covered thereby and may include such conditions and requirements as the commissioner deems appropriate, including but not limited to, management practices and verification and reporting requirements. The general permit may require any person conducting any activity under the general permit to report, on a form prescribed by the commissioner, such activity to the commissioner before it shall be covered by the general permit. The commissioner shall prepare, and shall annually amend, a list of holders of general permits under this section, which list shall be made available to the public.

- Sec. 5. Section 22a-40 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):
 - (a) The following operations and uses shall be permitted in wetlands and watercourses, as of right:
 - (1) Grazing, farming, nurseries, gardening and harvesting of crops and farm ponds of three acres or less essential to the farming operation, and activities conducted by, or under the authority of, the Department of Environmental Protection for the purposes of wetland or watercourse restoration or enhancement or mosquito control. The provisions of this subdivision shall not be construed to include road construction or the erection of buildings not directly related to the farming operation, relocation of watercourses with continual flow, filling or reclamation of wetlands or watercourses with continual flow, clear cutting of timber except for the expansion of agricultural crop

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land, the mining of top soil, peat, sand, gravel or similar material from wetlands or watercourses for the purposes of sale;

- (2) A residential home (i) for which a building permit has been issued or (ii) on a subdivision lot, provided the permit has been issued or the subdivision has been approved by a municipal planning, zoning or planning and zoning commission as of the effective date of promulgation of the municipal regulations pursuant to subsection (b) of section 22a-42a or as of July 1, 1974, whichever is earlier, and further provided no residential home shall be permitted as of right pursuant to this subdivision unless the permit was obtained on or before July 1, 1987:
- (3) Boat anchorage or mooring;

- (4) Uses incidental to the enjoyment and maintenance of residential property, such property defined as equal to or smaller than the largest minimum residential lot site permitted anywhere in the municipality, provided in any town, where there are no zoning regulations establishing minimum residential lot sites, the largest minimum lot site shall be two acres. Such incidental uses shall include maintenance of existing structures and landscaping but shall not include removal or deposition of significant amounts of material from or onto a wetland or watercourse or diversion or alteration of a watercourse;
- (5) Construction and operation, by water companies as defined in section 16-1, as amended, or by municipal water supply systems as provided for in chapter 102, of dams, reservoirs and other facilities necessary to the impounding, storage and withdrawal of water in connection with public water supplies except as provided in sections 22a-401 and 22a-403; and
- (6) Maintenance relating to any drainage pipe which existed before the effective date of any municipal regulations adopted pursuant to section 22a-42a or July 1, 1974, whichever is earlier, provided such pipe is on property which is zoned as residential but which does not contain hydrophytic vegetation. For purposes of this subdivision,

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180 "maintenance" means the removal of accumulated leaves, soil, and 181 other debris whether by hand or machine, while the pipe remains in 182 place.

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- (b) The following operations and uses shall be permitted, as nonregulated uses in wetlands and watercourses, provided they do not disturb the natural and indigenous character of the wetland or watercourse by removal or deposition of material, alteration or obstruction of water flow or pollution of the wetland or watercourse:
- 188 (1) Conservation of soil, vegetation, water, fish, shellfish and 189 wildlife; and
- 190 (2) Outdoor recreation including play and sporting areas, golf 191 courses, field trials, nature study, hiking, horseback riding, swimming, 192 skin diving, camping, boating, water skiing, trapping, hunting, fishing 193 and shellfishing where otherwise legally permitted and regulated.
 - (c) Any dredging or any erection, placement, retention or maintenance of any structure, fill, obstruction or encroachment, or any work incidental to such activities, conducted by a state agency, which activity is regulated under sections 22a-28 to 22a-35, inclusive, or sections 22a-359b to 22a-363f, inclusive, shall not require any permit or approval under sections 22a-36 to 22a-45, inclusive.
- 201 (d) Routine maintenance performed on a dam, dike, reservoir or
 201 other similar structure, along with any appurtenances thereto, that, in
 202 the judgment of the commissioner would, by breaking away, cause
 203 loss of life or significant property damage shall not require a permit or
 204 approval under sections 22a-36 to 22a-45, inclusive, when such routine
 205 maintenance is performed under the written authority of the
 206 Department of Environmental Protection.
 - Sec. 6. Subsection (d) of section 20-327b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2006*):
- 210 (d) (1) The Commissioner of Consumer Protection, shall, by

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regulations adopted in accordance with the provisions of chapter 54, 211 212 prescribe the form of the written residential disclosure report required 213 by this section and sections 20-327c to 20-327e, inclusive. The 214 regulations shall provide that the form include information concerning 215 municipal assessments, including, but not limited to, sewer or water 216 charges applicable to the property. Such information shall include: (i) 217 Whether such assessment is in effect and the amount of the 218 assessment; (ii) whether there is an assessment on the property that 219 has not been paid, and if so, the amount of the unpaid assessment; and 220 (iii) to the extent of the seller's knowledge, whether there is reason to 221 believe that the municipality may impose an assessment in the future.

- (2) Such form of the written residential disclosure report shall contain the following:
- (A) A certification by the seller in the following form:

"To the extent of the seller's knowledge as a property owner, the seller acknowledges that the information contained above is true and accurate for those areas of the property listed. In the event a real estate broker or salesperson is utilized, the seller authorizes the brokers or salespersons to provide the above information to prospective buyers, selling agents or buyers' agents.

(Seller)	(Date)	
(Seller)"	(Date)	

- (B) A certification by the buyer in the following form:
- "The buyer is urged to carefully inspect the property and, if desired, to have the property inspected by an expert. The buyer understands that there are areas of the property for which the seller has no knowledge and that this disclosure statement does not encompass those areas. The buyer also acknowledges that the buyer has read and received a signed copy of this statement from the seller or seller's agent.

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(Date)	(Seller)
(Date)	(Seller)"

239 (C) A statement concerning the responsibility of real estate brokers 240 in the following form:

"This report in no way relieves a real estate broker of the broker's obligation under the provisions of section 20-328-5a of the Regulations of Connecticut State Agencies to disclose any material facts. Failure to do so could result in punitive action taken against the broker, such as fines, suspension or revocation of license."

- (D) A statement that any representations made by the seller on the written residential disclosure report shall not constitute a warranty to the buyer.
- (E) A statement that the written residential disclosure report is not a substitute for inspections, tests and other methods of determining the physical condition of property.
- (F) Information concerning environmental matters such as lead, radon, subsurface sewage disposal, flood hazards, the location and condition of any dam, dike or similar structure, the disclosure of any responsibility for maintenance and repair of a dam, the disclosure of any order or pending request of the Commissioner of Environmental Protection concerning dam repair and such other topics as the Commissioner of Consumer Protection may determine would be of interest to a buyer.
- (G) A statement that information concerning the residence address of a person convicted of a crime may be available from law enforcement agencies or the Department of Public Safety and that the Department of Public Safety maintains a site on the Internet listing information about the residence address of persons required to register under section 54-251, as amended, 54-252, 54-253 or 54-254, who have so registered.

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US INCLUMENT

This act shall take effect as follows and shall amend the following sections:

Section 1	October 1, 2006	New section	
Sec. 2	October 1, 2006	22a-403	
Sec. 3	October 1, 2006	22a-409(a)	
Sec. 4	October 1, 2006	22a-411(a)	
Sec. 5	October 1, 2006	22a-40	
Sec. 6	October 1, 2006	20-327b(d)	

ENV Joint Favorable Subst.

sSB414 File No. 144

The following fiscal impact statement and bill analysis are prepared for the benefit of members of the General Assembly, solely for the purpose of information, summarization, and explanation, and do not represent the intent of the General Assembly or either House thereof for any purpose:

OFA Fiscal Note

State Impact:

Agency Affected	Fund-Effect	FY 07 \$	FY 08 \$
Department of Environmental	GF - See Below	See Below	See Below
Protection			

Note: GF=General Fund

Municipal Impact:

Municipalities	Effect	FY 07 \$	FY 08 \$
Various Municipalities	Cost	Potential	Potential
_		Minimal	Minimal

Explanation

The changes made in the bill regarding dam safety are anticipated to increase the efficiency of the Department of Environmental Protection (DEP) with regard to their administration of the laws regarding dam safety and minimally increase their workload, within resources, due to the monitoring of the land record filings for high and significant hazard dams. It is also anticipated that the legislation will result in a minimal fiscal impact, within resources, to the state and impacted municipalities due to the cost of recording on the land records when transferring state-owned or municipally owned dams. There are currently 702 dams in the state that fall into the high hazard or significant hazard category and it is estimated that 25-30 of these dams are transferred per year.

The Out Years

The annualized ongoing fiscal impact identified above would continue into the future subject to inflation.

sSB414 / File No. 144

OLR Bill Analysis sSB 414

AN ACT CONCERNING DAM SAFETY.

SUMMARY:

This bill makes several changes to laws affecting dam safety. Specifically, it:

- exempts the Department of Environmental Protection (DEP) commissioner from several environmental laws when she builds, repairs, replaces, or removes dams, dikes, reservoirs, or similar structures on state-owned land under DEP control;
- 2. permits people to perform routine dam maintenance without a DEP construction permit or general permit;
- permits people to build dams, dikes, and other structures without a DEP general permit if the structure presents low or negligible safety hazards;
- 4. gives people the right to perform routine maintenance on dams in inland-wetland area, when authorized in writing by the DEP;
- requires, starting January 1, 2008, owners transferring property on which a high hazard or significant hazard dam is located to record the dam's location and hazard status in local land records, and authorizes the commissioner to publish a standard form for such purposes; and
- requires disclosure on prescribed residential sale disclosure forms of (a) the location and condition of any dam; (b) the responsibility for maintaining and repairing it; and (c) any order or pending request from the commissioner concerning dam repair.

The bill defines "emergency operation plan," but does not otherwise refer to it

EFFECTIVE DATE: October 1, 2006

Exempting DEP Commissioner from Permit Process

Under current law, any person who builds, alters, rebuilds, substantially repairs, adds to, replaces, or removes any dam, dike, reservoir, or similar structure must obtain a DEP permit.

This bill exempts the commissioner or her representative from this requirement when she seeks to build or make such changes to a dam, dike, reservoir, or similar structure, with its appurtenances, if: (1) the state owns the structure and (2) it is under the commissioner's control. The bill also exempts the commissioner from the need to obtain any permit, certificate, or approval under the Connecticut Environmental Policy Act and laws governing (1) wetlands and watercourses, (2) coastal management, (3) water resources, (4) flood management and (5) fisheries and game, if her actions are consistent with the policies the act and those laws express. But the bill does not exempt the commissioner from a law authorizing people to sue in Superior Court to protect the public trust in the state's air, water, or other natural resources from unreasonable pollution, impairment, or destruction.

Dam Construction Permit

Under current law, anyone seeking to build, alter, rebuild, substantially repair, add to, replace or remove a dam, dike, reservoir, or similar structure which, by breaking away, would endanger life or property, must obtain a DEP construction permit. The bill exempts from this requirement routine dam maintenance, which it defines as any work performed on a dam, dike, reservoir, or similar structure, with appurtenances, undertaken periodically to ensure the structure's safe operation and physical integrity. The definition includes rodent removal, vegetation control, floating debris removal, lubrication, painting, minor embankment regrading, minor riprap or erosion repair, minor masonry or concrete restoration, brush or tree cutting,

and removal of sediment from spillway structures or discharge channels.

Routine Maintenance on Wetlands or Watercourse

Under current law, a person must obtain permission from the commissioner or municipal inland wetlands commission to conduct certain activities, such as removing or depositing material, in a wetlands or watercourse. The bill exempts routine dam maintenance from this requirement.

DEFINITIONS

High Hazard Dam

A high hazard dam is a dam, dike, reservoir or similar structure, with appurtenances, that the commissioner has determined is a class C dam whose failure would cause (1) probable loss of life; (2) major damage to a habitable structure, residence, hospital, convalescent home, school, or similar property; (3) damage to a main highway; or (4) significant economic loss.

Significant Hazard Dam

A significant hazard dam is any dam, dike, reservoir, or similar structure, with appurtenances, that the commissioner has determined is a class B dam whose failure would cause (1) possible loss of life; (2) minor damage to a habitable structure, residence, hospital, convalescent home, school or similar property; (3) damage to or the interruption of the use of service utilities; (4) damage to primary roads and railroads; or (5) significant economic loss.

COMMITTEE ACTION

Environment Committee

Joint Favorable Substitute
Yea 26 Nay 0 (03/10/2006)